

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Thomas G. Woolston

Art Unit : Unknown

Serial No.: 10/824.322

Examiner: Unknown

Filed

: April 13, 2004

: CONSIGNMENT NODES Title

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT AND NOTICE OF LITIGATION UNDER MPEP 2001.06(C)

Applicants call attention to the attached Information Disclosure Statement and documents listed on the attached form PTO-1449.

The examiner's attention is also drawn to documents A641-A667 which were filed in litigation between the assignee of the above-referenced application and eBay, Inc., in the case MercExchange L.L.C. v. eBay, Inc. et. al., Civil Action No. 2:01-CV-736, in the U.S. District Court for the Eastern District of Virginia, involving the assignee of the above-referenced application, and at least one patent that is a family member to the above-referenced application. Applicants make no representations herein regarding the materiality of the documents submitted herewith. Applicants are merely making these documents available to the Patent Office as a result of the contentions of the parties in the above-referenced litigation.

For the examiner's convenience, copies of the references listed on the attached form' PTO-1449 are being provided on two compact discs filed herewith. Paper copies will be provided if requested by the examiner.

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Jennifer H. Payne

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Applicant: Thomas G. Woolston

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This statement is being filed before receipt of a first Office action on the merits. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Attorney's Docket No.: 13466-002016

Date: April 28, 2004

John C Phillips Reg. No. 35,322

Fish & Richardson P.C. PTO Customer No. **20985** 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5070

Telephone: (858) 678-5070 Facsimile: (858) 678-5099

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Substitute from PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 13466-002016

Application No. 10/824,322

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Applicant Thomas G. Woolston

Filing Date April 13, 2004 Group Art Unit

(37 CFR §1.98(b))

U.S. Patent Documents Filing Date Desig. **Document Publication** If **Examiner** Initial Number Class \mathbf{ID} Date **Patentee Subclass** Appropriate 3,573,747 04/06/1971 Adams et al AA 5/25/1971 3,581,072 Nymeyer AB 4,247,759 1/27/1981 Yuris, et al. AC 5/26/1981 4,270,042 Case AD 4,305,059 12/08/1981 Benton ΑE 8/24/1982 4,346,442 Musmanno AF 5/15/1984 Kelly, et al. 4,449,186 AG 4,553,222 11/12/1985 Kurland, et al. AH 6/16/1987 Kalmus et al. 4,674,044 ΑI 4,677,552 6/30/1987 Sibley, Jr. AJ 4,734,858 03/29/1988 Schlafly AK 4/19/1988 Roberts et al. 4,739,478 ΑL 4,742,457 5/3/1988 Leon et al. **AM** 4,751,640 6/14/1988 Lucas et al. AN 4,755,940 07/05/1988 Brachtle et al AO 4,775,935 10/04/1988 Yourick AP 4,789,928 12/6/1988 Fujisaki AQ 4,795,890 01/03/1989 Goldman AR 4,799,156 1/17/1989 Shavit et al. AS 4,812,628 03/14/1989 Boston et al ΑT 4,823,265 4/18/1989 Nelson AU 4,864,516 09/05/1989 Gaither et al AV Lloyd 4,876,648 10/24/1989 AW Wolfberg et al. 4,885,685 12/5/1989 AX 12/12/1989 Schneider et al 4,887,208 AY 2/20/1990 4,903,201 Wagner ΑZ 4,910,676 3/20/1990 Alldredge

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Date Considered

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13466-002016	Application No. 10/824,322
	closure Statement	Applicant Thomas G. Woolston	
(Use several sheets if necessary) (37 CFR §1.98(b))		Filing Date April 13, 2004	Group Art Unit

U.S. Patent Documents								
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate	
	ABB	4,922,521	05/01/1990	Krikke et al				
	ACC	4,935,870	06/19/1990	Burk et al		· -		
	ADD	4,947,028	08/07/1990	Gorog				
	AEE	4,977,595	12/11/1990	Ohta et al		<u> </u>		
	AFF	4,982,346	01/01/1991	Girouard et al				
	AGG	4,992,940	2/12/1991	Dworkin				
	АНН	5,021,953	6/4/1991	Webber et al.				
	AII	5,060,153	10/22/1991	Nakagawa				
	AJJ	5,063,507	11/5/1991	Lindsey et al.				
	AKK	5,077,607	12/31/1991	Johnson et al				
	ALL	5,077,665	12/31/1991	Silverman et al.				
	AMM	5,101,353	3/31/1992	Lupien et al.		•		
	ANN	5,136,501	8/4/1992	Silverman et al.				
·	AOO	5,168,446	12/1/1992	Wiseman				
	APP	5,191,613	3/2/1993	Graziano et al.				
	AQQ	5,193,056	3/9/1993	Boes				
	ARR	5,206,803	4/27/1993	Vitagliano et al.				
	ASS	5,220,501	06/15/1993	Lawlor et al				
	ATT	5,235,680	8/10/1993	Bijnagte				
	AUU	5,237,500	8/17/1993	Perg et al.				
	AVV	5,239,462	8/24/1993	Jones et al.				
	AWW	5,243,515	9/7/1993	Lee				
	AXX	5,247,575	09/23/1993	Sprague et al				
	AYY	5,258,908	11/2/1993	Hartheimer et al.				
	AZZ	5,262,942	11/16/1993	Earle				
	AAAA	5,280,305	01/18/1994	Monroe et al				
	ABBB	5,280,422	01/18/1994	Moe et al				

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			U.S. Pat	ent Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	ACCC	5,283,731	2/1/1994	Lalonde et al.			
	ADDD	5,285,383	2/8/1994	Lindsey et al.			
	AEEE	5,297,031	03/22/1994	Gutterman et al			
	AFFF	5,297,032	03/22/1994	Trojan et al			
	AGGG	5,305,195	04/19/1994	Murphy			
	АННН	5,305,200	4/19/1994	Hartheimer et al.			
	AIII	5,319,542	06/07/1994	King			
	AJJJ	5,323,315	6/21/1994	Highbloom			
	AKKK	5,325,297	6/28/1994	Bird et al.			
	ALLL	5,329,589	7/12/1994	Fraser et al.			
	AMMM	5,334,822	08/02/1994	Sanford			
	ANNN	5,335,170	08/02/1994	Petteruti et al			
	A000	5,336,870	08/09/1994	Hughes et al			
	APPP	5,341,429	08/23/1994	Stringer et al			
	AQQQ	5,345,091	09/06/1994	Craig et al			
	ARRR	5,347,306	9/13/1994	Nitta		· · · · ·	
	ASSS	5,347,632	9/13/1994	Filepp et al.			
	ATTT	5,351,186	09/27/1994	Bullock et al			
	AUUU	5,351,293	09/27/1994	Michener et al			
	AVVV	5,375,055	12/20/1994	Togher, et al.			
	AWWW	5,383,113	01/17/1995	Kight et al			
	AXXX	5,394,324	2/28/1995	Clearwater			
	AYYY	5,402,336	08/02/1994	Spiegelhoff et al			
	AZZZ	5,414,833	05/09/1995	Hershey et al			
	AAAAA	5,424,944	6/13/1995	Kelly et al.			
	ABBBB	5,426,281	6/20/1995	Abecassis			
	ACCCC	5,428,778	06/27/1995	Brookes			

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Thomas G. Woolston

Applicant

Filing Date April 13, 2004 **Group Art Unit**

(37 CFR §1.98(b))

Examiner Initial	Desig. ID	Document Number	Publication Date	ent Documents Patentee	Class	Subclass	Filing Date If Appropriate
	ADDDD	5,448,625	9/5/1995	Lederman			1 1 1
	AEEEE	5,451,998	9/19/1995	Hamrick			
	AFFFF	5,453,926	09/26/1995	Stroshcin et al			
·	AGGGG	5,465,291	11/7/1995	Barrus et al.			
	АНННН	5,485,510	01/16/1996	Colbert		-	
	AIII	5,521,815	05/28/1996	Rose			
	AJJJJ	5,526,479	6/11/1996	Barstow et al.			
	AKKKK	5,553,145	09/03/1995	Micali			
	ALLLL	5,557,518	9/17/1996	Rosen		-	
	AMMMM	5,557,728	09/17/1996	Garrett et al			
	ANNNN	5,561,707	10/1/1996	Katz			
	A0000	5,584,025	12/10/1996	Keithley et al.			
	APPPP	5,592,375	1/7/1997	Salmon et al.			
	AQQQQ	5,594,732	1/14/1997	Bell et al.		-	
	ARRRR	5,598,557	01/28/1997	Doner et al			
	ASSSS	5,611,051	03/11/1997	Pirelli			
	ATTTT	5,625,818	04/29/1997	Zarmer et al			
	AUUUU	5,625,823	4/29/1997	Debenedictis et al.			
	AVVVV	5,629,982	05/13/1997	Micali			
	AWWWW	5,630,125	05/13/1997	Zellweger		•	
	AXXXX	5,657,389	08/12/1997	Houvener			
	AYYYY	5,664,110	09/02/1997	Green et al			
	AZZZZ	5,664,111	9/2/1997	Nahan et al.			
	AAAAA	5,664,115	9/2/1997	Fraser			
	ABBBBB	5,666,493	09/09/1997	Wojcik et al	 		
	ACCCCC	5,689,652	11/18/1997	Lupien et al			
	ADDDDI	5,694,546	12/02/1997	Reisman			

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Substitute Form PTO-1449 Attorney's Docket No. Application No. U.S. Department of Commerce (Modified) Patent and Trademark Office 13466-002016 10/824,322 Applicant **Information Disclosure Statement** Thomas G. Woolston by Applicant (Use several sheets if necessary) Filing Date Group Art Unit April 13, 2004 (37 CFR §1.98(b))

			U.S. Pat	ent Documents			
Examiner Initial	Desig.	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AEEEEE	5,694,551	12/02/1997	Doyle et al			
<u> </u>	AFFFFF	5,696,965	12/09/1997	Dedrick			
	AGGGGG	5,706,457	01/06/1998	Dwyer et al			
	АНННН	5,710,889	01/20/1998	Clark et al			
	AIIIII	5,715,314	2/3/1998	Payne et al.			
	AJJJJJ	5,717,989	02/10/1998	Tozzoli et al			
	AKKKKK	5,724,521	3/3/1998	Dedrick			-
 	ALLLLL	5,727,165	03/10/1998	Ordish et al			
	AMMMMI	5,745,882	4/28/1998	Bixler et al.	· · · · · · · · · · · · · · · · · · ·		
	ANNNN	5,752,238	5/12/1998	Dedrick			
	A00000	5,771,291	01/23/1998	Newton et al			
	APPPPP	5,771,354	6/23/1998	Crawford			
-	AQQQQQ	5,771,380	06/23/1998	Tanaka et al			
-	ARRRRR		06/30/1998	Berent et al			
	ASSSSS	5,778,367	07/07/1998	Wesinger et al			
	ATTTTT	5,794,207	8/11/1998	Walker et al.	-	_	
	AUUUUL	5,794,210	8/11/1998	Goldhaber et al.			
	AVVVV	5,794,219	08/11/1998	Brown			
_	wwww	5,799,285	08/25/1999	Klingman		_	
	AXXXXX	5,803,500	09/08/1998	Mossberg			
	AYYYYY	5,809,144	09/15/1998	Sirbu et al			
	AZZZZZ	5,818,914	10/6/1998	Fujisaki		-	
	AAAAA	5,826,244	10/20/1998	Huberman			
	ABBBBBI	5,832,459	11/03/1998	Cameron et al			
	ACCCCC	5,835,896	11/10/1998	Fisher et al			
-	ADDDDDD	5,838,906	11/17/1998	Doyle et al.			
	AEEEEE	5,842,040	11/24/1998	Hughes et al.			

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	. • •		U.S. Pat	ent Documents			
Examiner Initial	Desig.	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AFFFFF	5,845,265	12/1/1998	Woolston			
	AGGGGG	5,845,266	12/01/1998	Lupien et al			
	АНННН	5,850,442	12/15/1998	Muftic			
	AIIIII	5,864,823	1/26/1999	Levitan			
	AJJJJJ	5,870,552	2/9/1999	Dozier et al.			
	AKKKKK	5,870,717	2/9/1999	Wiecha			
	ALLLLLI	5,872,848	02/16/1999	Romney et al			
	МММММ	5,873,069	02/16/1999	Reuhl et al			
	ANNNNN	5,878,400	3/2/1999	Carter, III			
	A00000	5,878,423	3/2/1999	Anderson et al.			
	APPPPP	5,884,056	03/16/1999	Steele			
	AQQQQQ	5,890,138	3/30/1999	Godin et al.			
<u> </u>	ARRRRI	5,896,379	4/20/1999	Haber			
	ASSSSS	5,903,647	5/11/2019	Ronning			
	ATTTTT	5,905,974	05/18/1999	Fraser et al	1		
	AUUUUU	5,905,975	05/18/1999	Ausubel			
	AVVVVV	5,915,209	6/22/1999	Lawrence		<u> </u>	
-	wwwww	5,922,074	07/13/1999	Richard et al			
	AXXXXX	5,926,794	07/20/1999	Fethe			
	AYYYYY	5,933,813	8/3/1999	Teicher et al.			
	AZZZZZ	5,946,467	8/31/1999	Pathakis et al.			
	ААААА	5,987,425	11/16/1999	Hartman et al.			
	ввввв		11/23/1999	Cupps et al			
	CCCCC	5,999,915	12/07/1999	Nahan et al			
	DDDDDD	6,006,201	12/21/1999	Berent et al			
	AEEEEEE	6,029,141	2/22/2000	Bezos et al.			
-	AFFFFFF	6,049,785	04/11/2000	Gifford			

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			U.S. Pat	ent Documents			
Examiner Initial	Desig.	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	GGGGG	6,055,516	04/25/2000	Johnson et al			
	ннннн	6,055,573	4/25/2000	Gardenswartz et al.			
	AIIIIII	6,061,660	5/9/2000	Eggleston et al.			
	AJJJJJJ	6,185,683	2/6/2001	Ginter et al.			
	ккккк	6,202,051	03/13/2001	Woolston			
	ALLLLLL	6,205,432	3/20/2001	Gabbard et al.			
	имммми	6,259,699	7/10/2001	Opalka et al.			
	NNNNN	6,266,651	7/24/2001	Woolston			
	000000	6,272,474	8/7/2001	Garcia			
	APPPPPP	6,282,516	8/28/2001	Giuliani			
	QQQQQ	6,292,786	9/18/2001	Deaton et al.			-
	RRRRRR	6,336,105	1/1/2002	Conklin et al.			
	ASSSSSS	6,336,138	1/1/2002	Caswell et al.			
	ATTTTTT	6,377,937	4/23/2002	Paskowitz			
	บบบบบน	6,397,212	5/28/2002	Biffar			-
	vvvvv	6,415,270	7/2/2002	Rackson et al.		_	
	wwww	6,427,132	7/30/2002	Bowman-Amuah			

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Examiner	Desig.	g. Document	Publication	Country or			Translation			
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No		
	XXXXX	0542298 A2	05/19/1993	Europe						
	YYYYY	2 658 635	8/23/1991	France						
	AZZZZZZ	2,102,606	02/02/1983	Great Britain						
	AAAAA	9300266	9/1/1994	Netherlands						
	вввввві	WO 91/16691	10/31/1991	WIPO						
	CCCCCC	WO 92/15174	9/3/1992	WIPO						
	DDDDDD	WO 95/16971	06/22/1995	WIPO						

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	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	EEEEEEE	WO 96/34356	10/31/1996	WIPO				
	AFFFFFF	WO 97/19415	5/29/1997	WIPO (3 parts)				
	GGGGG	WO 97/37315	10/9/1997	WIPO				
	ннннн	WO 98/19259	5/7/1998	WIPO (2 parts)				
	AIIIIIII	WO 98/35469	8/13/1998	WIPO (2 parts)				
	AJJJJJJJ	WO 99/63461	12/09/1999	WIPO		:		
	ккккк	WO 99/06913	2/11/1999	WIPO				
	LLLLLLI	WO 99/13417	3/18/1999	WIPO				
	MMMMM	WO 00/08578	2/17/2000	WIPO				
	מממממו	WO 00/17797	3/30/2000	WIPO				
_	000000	WO 00/62231	10/19/2000	WIPO				

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	APPPPPPPP	"[ADS FOR ANTIQUE SHOWS]," The Inside Collector, October 1992, Vol.3, No.2	
	20000000	"Acid Rain Auctions Is Successful," American Metal Market, Volume 103 No. 69, April 11, 1995	
	RRRRRRRR	"Active Message Processing Messages as Messengers," (Illegible) Centre for Mathematics and Computer Science, Report CSR9035 August	
	ASSSSSSS	"Ameritech Library Services Unveils Webpac at ALA," PR Newswire, June 27, 1995	
	TTTTTTTT	"An Analysis and Recommendation for the Terms of the Reclaim Trading Credit," Report submitted to South Coast Air Quality Management District, Contract No. R-C93074, April 27, 1993	
	טטטטטטטט	"Anonymous Delivery of Goods in Electric Commerce," <u>IBM Technical Disclosure Bulletin,</u> March 1996, Volume 39, No. 3, p. 363-364.	
	vvvvvvv	"Anonymous Delivery of Goods in Electronic Commerce (Continued)," <u>IBM Technical</u> <u>Disclosure Bulletin</u> , March 1996, Volume 39, No. 3, p.365-366	
	wwwwww	"AT&T PersonaLink Services to Use Intelligent Network Assistants," AT&T, January 6, 1994	
	xxxxxxx	"Bank Card Originated Messages - Interchange Message Specifications - Content for Financial Transaction," International Standard, 1987	
	YYYYYYY	"Big Players Team Up To Provide Affordable Web Security Standards," <u>Electronic Marketplace</u> <u>Report</u> , April 18, 1995, Vol.9, No.5, Simba Information, Inc.	
	ZZZZZZZZ	"BookIt!," Web Ventures 1996, http://www.webventures.com	

Examiner Signature	Date Considered
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EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include conv of this form with

next communication to applicant.

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			Filing Date April 13, 2004	Group Art Unit

	Other 1	Documents (include Author, Title, Date, and Place of Publication)
Examiner Initial	Dosig ID	Document
Initiai	Desig. ID	"BookIt!," Web Ventures, Datalex Communications USA, Inc., Web Ventures, 1999, http://www.webventures.com
	BBBBBBBB	HD 1 0 7 H 1 10 1004
	cccccc	"Business Plan for Intermart," Intermart, August 18, 1994, p. 1-27.
	DDDDDDDI	"Cashing in on Cyberspace Tribune's Big Stake in Interactive," NewsInc, November 27, 1995, Volume 7, No. 23
	EEEEEEEE	"Central Motor Auction in Strategic Alliance with MITAC: 2," Extel Examiner, October 28, 1994
	FFFFFFFF	"Checkfree Corp and Internet Provider Go For Secure Access," <u>Information Access Company</u> , February 1, 1995, Gralla Publications, U.S.
	GGGGGGG	"Chicago Firm Offers Internet Brokerage Services," <u>Simba Information, Inc., Media Daily</u> , December 21, 1994, Section No. 342, Volume 2
	ннннннн	"Cig Search BOTS," January 27, 2002
	AIIIIIIII	"Competition in the Dutch Flower Markets," November 6, 2001
	AJJJJJJJJ	"CompuServe's Big Push Will Impact Online Commerce," <u>Electronic Information Report</u> , August 8, 1995, Vol.9, No.15, Simba Information, Inc.
	KKKKKKK	1 11
	LLLLLLLLL	"Earth Day Ala Internet International Superstars Give Autographed Poster to Save the Earth-Silent Auction at San Francisco CA Artrock Gallery Will be Open to 26 Million Internet Users April 22 Through May 21," Business Wire, February 10, 1995
	ММММММ	"Farth Day on the Internet. Rockers and Performances Give Autographed Posters to Save the
	иииииии	"FIT computer museum: first ever internet auction produces results for the computer museum "
	00000000	VERNON I Smith et al. "Experimental market economics." Sentember 1001 (edited version in
	PPPPPPPP	SHARP, Amy, "From army knives to gold coins, collectors attend 'on-line' auctions," Memphis Business Journal v. 8(10) s1, p. 10, 7/28/86.
	QQQQQQQ	"Go for the green - country club members scrambling to buy PGA west, mission hills courses at auction." Los Angeles Times Home Edition, col. D1, p. 3, 6/30/93
	RRRRRRRR	Internet," Digital Press & Analysis News, November 7, 1994
	SSSSSSSS	"Interchange Message Specification for Debit and Credit Card Message Exchange Among Financial Institutions," American National Standard, May 16, 1988, American Bankers Association
	TTTTTTTT	"Intermart Architecture and Design", <u>Intermart</u> , May 1, 1995, p. 1-10, California
	บบบบบบบบ	"Intermart Database Schemas," Intermart, February 7, 1995, Volume 5, p. 1-13
	vvvvvv	"Internet providers take next step toward electronic commerce." Electronic Marketplace Report, v. 8 (24).

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EXAMINER: Initials citation considered. Draw line through citation if no next communication to applicant.	t in conformance and not considered. Include copy of this form with

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